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PTO/SB/21 (08-00)

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

<b>Application Number</b>	10/694,803		
	<b>Filing Date</b>	October 29, 2003	
	<b>First Named Inventor</b>	Shunpei YAMAZAKI et al.	
	<b>Group Art Unit</b>	2823	
	<b>Examiner Name</b>	T. Dang	
<b>Total Number of Pages in This Submission</b>		<b>Attorney Docket Number</b>	0756-7214

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Declaration and Power of Attorney	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Other Enclosures
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	1.
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Terminal Disclaimer	2.
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	3.
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> CD, Number of CD(s) _____	4.
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<b>Remarks</b>	5.
	<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 50-2280 for the above identified docket number.	6.

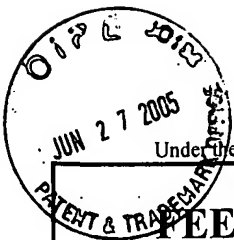
## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Eric J. Robinson, Reg. No. 38,285 Robinson Intellectual Property Law Office, P.C. PMB 955 21010 Southbank Street Potomac Falls, VA 20165
Signature	
Date	June 23, 2005

## CERTIFICATE OF MAILING

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**FEE TRANSMITTAL  
FOR FY 2005**

Effective 10/01/2004. Patent fees are subject to annual revision.

☐ Applicant Claims small entity status. See 37 CFR 1.27.**TOTAL AMOUNT OF PAYMENT** (\$280.00)**Complete if Known**

Application Number	10/694,803
Filing Date	October 29, 2003
First Named Inventor	Shunpei YAMAZAKI et al.
Examiner Name	T. Dang
Group Art Unit	2823
Attorney Docket No.	0756-7214

**METHOD OF PAYMENT**

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

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- Under 37 CFR 1.16 and 1.17 and
- 
- credit overpayments

☐ Applicant claims small entity status.  
See 37 CFR 1.27

- 2.
- ☒
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Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
1001	300	2001	150	Utility filing fee	
1111	500	2111	250	Search fee	
1311	200	2311	275	Examination fee	

Over 100 Sheets/250 for each additional 50

**SUBTOTAL (1)** (\$)**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
29	-27** = 2	\$50	\$100
Independent Claims	4	-4** = 0	\$200 = \$0.00
Multiple Dependent			

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
1202	50	2202	25	Claims in excess of 20	
1201	200	2201	100	Independent claims in excess of 3	
1203	360	2203	180	Multiple dependent claim, if not paid	
1204	200	2204	100	** Reissue independent claims over original patent	
1205	50	2205	25	** Reissue claims in excess of 20 and over original patent	

**SUBTOTAL (2)** (\$100.00)

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65 Surcharge - late filing fee or oath	
1052	50	2052	25 Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130 Non-English specification	
1812	2,520	1812	2,520 For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920* Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840* Requesting publication of SIR after Examiner action	
1251	120	2251	60 Extension for reply within first month	
1252	450	2252	225 Extension for reply within second month	
1253	1020	2253	510 Extension for reply within third month	
1254	1,590	2254	795 Extension for reply within fourth month	
1255	2,160	2255	1080 Extension for reply within fifth month	
1401	500	2401	250 Notice of Appeal	
1402	500	2402	250 Filing a brief in support of an appeal	
1403	1000	2403	500 Request for oral hearing	
1451	1,510	1451	1,510 Petition to institute a public use proceeding	
1452	500	2452	250- Petition to revive - unavoidable	
1453	1,500	2453	750 Petition to revive - unintentional	
1501	1,400	2501	700 Utility issue fee (or reissue)	
1502	800	2502	400 Design issue fee	
1503	1100	2503	550 Plant issue fee	
1462	400	1462	400 Petitions, Group I	
1463	200	1463	200 Petition, Group II	
1464	130	1464	130 Petitions, Group III	
1807	50	1807	50 Processing fee under 37 CR 1.17(q)	
1806	180	1806	180 Submission of Information Disclosure Stmt	\$180.00
8021	40	8021	40 Recording each patent assignment per property (times number of properties)	
1809	790	2809	395 Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395 For each additional invention to be examined (37 CFR § 1.29(b))	
1801	790	2801	395 Request for Continued Examination (RCE)	
1802	900	1802	900 Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$180.00)**CERTIFICATE OF MAILING**

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**SUBMITTED BY**

Name (Print/Type) Eric J. Robinson

Signature

Registration No.  
(Attorney/Agent)

38,285

**Complete (if applicable)**

Telephone (571) 434-6789

Date June 23, 2005



Attorney Docket No. 0756-7214

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:	) Group Art Unit: 2823
Shunpei YAMAZAKI et al.	) Examiner: T. Dang
Serial No. 10/694,803	) <u>CERTIFICATE OF MAILING</u>
Filed: October 29, 2003	) I hereby certify that this correspondence is
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MANUFACTURING METHOD	) Service with sufficient postage as First Class
THEREOF	) Mail in an envelope addressed to:
	) Commissioner for Patents, P.O. Box 1450,
	) Alexandria, VA 22313-1450, on June 23,
	) 2005
	) <u>Rose D. Fickel</u>

INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing information known to Applicant and requests that this information be made of record in the above identified application. Copies are submitted herewith in accordance with 37 C.F.R. 1.98(a).

Copies of U.S. patents and U.S. publications are not enclosed in accordance with the Notice published in the Official Gazette on August 5, 2003 entitled *Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003*, which waives the requirement under 37 CFR 1.98(a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. publication.

U.S. Patent Publication No. 2002/0146893; EP 0 858 110; and EP 1 351 308 are in the family of U.S. Patent No. 6,127,199.

A check in the amount of \$180 is being submitted to comply with the provisions of 37 C.F.R. § 1.97(c).


06/28/2005 ZJUHA1 00000060 10694803

01 FC:1806

180.00 OP

The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 50-2280. A duplicate copy of this sheet is attached.

Respectfully submitted,

  
\_\_\_\_\_  
Eric J. Robinson  
Reg. No. 38,285

Robinson Intellectual Property Law Office, P.C.  
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JUN 27 2005

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for form 1449A/PTO

Complete if Known

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Application Number	10/694,803
Filing Date	October 29, 2003
First Named Inventor	Shunpei YAMAZAKI et al.
Group Art Unit	2823
Examiner Name	T. Dang
Attorney Docket Number	0756-7214

Sheet 1 of 1

## **U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		6,127,199		Inoue et al.	10/03/2000	
		2002/0146893		Shimoda et al.	10/10/2002	
		5,821,138		Yamazaki et al.	10/13/1998	
		6,376,333		Yamazaki et al.	04/23/2002	
		5,317,236		Zavracky et al.	05/31/1994	
		6,043,800		Sptizer et al.	03/28/2000	
		5,376,561		Vu et al.	12/27/1994	
		5,206,749		Zavracky et al.	04/27/1993	
		5,258,325		Spitzer et al.	11/02/1993	
		5,341,015		Kohno	08/23/1994	
		5,674,304		Fukada et al.	10/07/1997	
		5,757,456		Yamazaki et al.	05/26/1998	
		5,851,862		Ohtani et al.	12/22/1998	
		6,033,974		Henley et al.	03/07/2000	
		6,118,502		Yamazaki et al.	09/12/2000	
		6,268,695		Affinito	07/31/2001	
		6,310,362		Takemura	10/30/2001	
		6,362,866		Yamazaki et al.	03/26/2002	
		6,059,913		Asmussen et al.	05/09/2000	
		2002/0106522		McCormack et al.	08/08/2002	

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>4</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
		EP	0 858 110			08/12/1998		Eng.
		EP	1 351 308			10/08/2003		Eng.

## **OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		T. TAKAYAMA et al., <i>A CPU on a Plastic Film Substrate</i> , 2004 Symposium on VLSI Technology, Digest of Technical Papers, June 15-17, 2004, Pages 230-231.	

Examiner  
Signature

Date  
Considered